

Statutory Requirements and Critical Path Analysis for 2013-2015 Three-Year Plan
Key Issues and Notes

1. SAVINGS GOALS

a. Ongoing assessment work (e.g., Point 380, PA-Sponsored Customer Summit, Synapse work, review of EM&V studies, etc.) will continue, and there will be direct discussions with PA leads and consultants. This is a key focus in February; some work will continue in spring and early summer.

b. Reviewing savings basis; small working group is addressing. Currently no consensus. Meeting 1/13/12.

2. **PROGRAM BUDGETS** – While developing budgets, look to continue to utilize common assumptions and explain and address any material differences. Ensure compliance with statutory low-income expenditure requirements (10%/20%).
3. **BENEFITS** – (Energy & Demand Savings, Greenhouse Gas Reductions, Net Economic Benefits and Progress towards Green Communities Act Requirements and Goals) – Build in plans to accommodate effect of changed avoided costs when considering benefits. PAs understand dollar benefits is a core focus for EEAC.
4. **COST-EFFECTIVENESS** – In light of DPU NOI, DOER has not convened on Carbon Compliance Working Group. Comments on NOI due on 1/31/12. Discuss timing of next AESC; consider forming dedicated working group on cost-effectiveness screening. Focus on common assumptions. Note, in current 2012 MTM proceeding, Attorney General plans to sponsor a witness on AESC matters.
5. **PROGRAM DESIGN/CONSOLIDATION** – Utilize RMC, LI Best Practices and C&I MC for this – aggregate programs where possible. Working groups are undertaking this effort now. Continue work on pre-WZ matters. Review and consider comments from 1/10/11 EEAC meeting.
6. **OUTSIDE FUNDING/FINANCING** – Should there be a dedicated sub-group(s)?
7. **EVALUATION AND MONITORING** – Any changes to current construct? Review frequency of evaluations.
8. **PERFORMANCE INCENTIVES** – Simplified incentive structure (possibly without metrics) – Address in existing incentive working group.

9. **COST RECOVERY** – Plan to utilize existing methodologies from current Plan.
10. **BILL IMPACTS** – use new bill impact model from working group; DPU has re-convened group. PAs currently populating “user test” models.
11. **STATEWIDE MARKETING AND EDUCATION** – To be addressed in RMC and C&I MC. Discussions underway.
12. **PROGRESS TOWARD GREEN COMMUNITIES ACT REQUIREMENTS AND GOALS/OTHER MA GOALS** – Include narrative in Plans.
13. **MID-TERM MODIFICATIONS** – MTM provisions for next Three Year Plan. Attorney General has raised issues with current “triggers” and believes there should be a dollar trigger as well (as opposed to percentages).
14. **ECONOMIC DEVELOPMENT AND JOB GROWTH** - NECEC study on jobs growth is being undertaken. Project well underway.
15. **TRM** – updated Three-Year Plan version; utilize existing format.
16. **OTHER** – other key items? Role of demand response to be explored in more depth. Ensure each requirement of GCA is fully met.

Note: A number of these items should be in good shape and not all statutory requirements will require the same level of effort/attention. The PAs, to date, anticipate prioritizing efforts on establishing savings goals and incentive pools/structures when looking at the 2013-2015 Plan efforts for early 2012. A core focus in late 2011 was in-the-field implementation and working to achieve savings goals for 2011.

Note: Much work being done in parallel. Detailed timeline follows, with key tasks.

Note: Parties will continue to discuss inter-relation of ongoing DPU NOI and ongoing 2012 MTM docket and preparation of next Three-Year Plans. Timing of any DPU orders is not known.

CALENDAR OF CRITICAL PATH ITEMS TO THREE-YEAR PLAN 2013-2015

Task	Further Description/Notes	Responsible Parties	Date/Status
PAs to provide key concerns/ “heads up”/ process suggestions on next Three Year Plan to RM- Informal PAs-only process	For PA internal use only. Very informal, emails are encouraged. Can use short bullet points.	All PAs and Counsel	COB November 18, 2011 Completed
Standing PA/consultant call	Standing monthly call	PAs	December 9, 2011 Completed
EEAC Meeting	2013-2015 Plan likely not an agenda item	All	December 13, 2011 Completed
Review 2011 savings achievement (“hockey stick”) and performance; continued informal discussions on 2013-2015 Plan	Focus on 2011 challenges; 2012 issues. Informal discussions. No substantive agreements on 2013-2015 Plan contemplated at this time. Identify priority areas for future work.	PA leads/consultants	December 13, 2011 Completed
Bill Impacts call	DPU conf. call. PAs to populate “user tests” in January 2012. Ongoing		December 15, 2011 Completed
PA and consulting team meetings; status update on ongoing assessment work and savings basis	Updating discussion on ongoing savings basis, PAs, DPU assessment work; review any initial results; get update on completion dates; check in on Point 380 effort	PAs (small group), consultants	December 15, 2011 in afternoon at RM 12:00-4:00; call in option Completed

Task	Further Description/Notes	Responsible Parties	Date/Status
Ongoing assessments of EE potential	Review Point 380, etc.; customer surveys-Synapse work; EM&V results; public comments; customer appreciative inquiry to be hosted by PAs	PAs and Consultants	Mid-January to mid-March; PA call on customer appreciative inquiry on January 13, 2012 – exact time not set
Ongoing work on 2013-2015 proposals for 1) savings/benefits goals; 2) budgets and funding levels; and 3) performance incentives	These items are inter-related	PAs, with ongoing discussions with working groups	Ongoing in January and February 2012
EEAC Meeting	Public comments on next Three Year Plans. PAs and consultants to review and consider ideas brought forth at meeting.	All	January 10, 2012 Completed
Updated, more detailed master calendar. Draft only, not a consensus document yet.	Based on feedback from working groups and consultants; include updates from working groups; straw updated draft only – is subject to comments from all	RM	January 11, 2012 Completed
PA savings basis group meeting w/ consultants; Attorney General	Also will review 2012 MTM schedule, updated 2013-2015 Plan calendar, update on assessment activities; issues are complex and we are not at a consensus point on savings basis	Small group (Carol W., Lynn W., Lisa S., Tilak S., Monica K., Jeff S., Eric B. (“Savings Basis Group”)); Attorney General will attend; other PAs welcome	January 13, 2012, 10:30-2:00; call in option is 877-393-3856; 5563885

Task	Further Description/Notes	Responsible Parties	Date/Status
PA table group meeting	Discuss common assumptions for next Plan (e.g., definition of participant, cost categories)	Robert, Trish, Monica, Lisa, Marie, Deb, Crystal, Jenn K., other PAs involved in tables; Jodi H. is coordinating	January 17, 2012
PA interim discussion of 2013-2015 Plans, statewide savings goals, incentive pools, etc.	Active discussion of Plans, goals and incentive pools; other key items; reviewing whether to have in person, or call in; likely call in	PAs	January 20, 2012 at 10:00
Determine PA proposed straw on savings basis	PAs are not currently at a consensus point; discussions ongoing	PAs; Savings Basis Group Leads	January 24, 2012 (earlier if possible)
Internal draft PA consensus NOI comments	Only includes areas of consensus – if possible; all PAs have right to file separately	PA Counsel Group; Shaela Collins coordinating	January 24, 2012
Initial comments due on DPU NOI	Group comments encouraged; each party can file individually	PAs; Shaela Collins coordinating	January 31, 2012
PAs working on initial drafts of Plan for submission 4/30/12	Ongoing effort, involves all working groups	PAs	Ongoing February through April 30, 2012
Draft template of “short form” 2013-2015 Plan to be filed with EEAC in April- template development only, no data population	PA draft to consultants; build upon successful 2012 MTM summary format. In broadest terms, goal is 20-30 pages (inclusive of template summary tables) as opposed to 300+. No data at this stage.	RM/PA Counsel Group. E. Lyne working on “straw draft	February 1, 2012
Standing PA/consultant call	Standing monthly call	PAs	February 3, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
Consultant comments due on “short form” – 2013-2015 plan template	Look to get consensus on summary template	Consultants	February 7, 2012
Determine PA proposed “straw” PI structure for 2013-2015 – interact target	Review components and determine what worked/didn’t work from 2010-2012; to share with consultants; PI group to set meeting schedule	Carol, Tilak, Christine, Emmett L., Jack H.	February 10, 2012
EEAC Meeting; present summary 2013-2015 plan template	Present draft template for 2013-2015 Plan filing for use in April; Council to discuss priorities resolution [2-1-12 note:Ex Comm. plans to have presented at March not February meeting.]	PAs and consultants	February 14, 2012
PA/consultant off-site	Review ongoing efforts on 2013-2015 Plan	PAs/Consultants	February 14, 2012 after EEAC [2-10-12 note-Meeting will be on 2-13-12 in Waltham.]
Finalize programs consolidation for 2013-2015	Complete program consolidation/aggregation; work is ongoing	RMC and C&IMC; LI Best Practices Group	March 2, 2012
Complete initial discussions on outside funding/financing and make recommendations	Discuss realistic levels of outside funding/financing, new ways to achieve, effects on savings goals	Tilak S., Bill C., Birud J. (DOER), other PAs?, consultants	March 2, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
Standing PA/consultant call	Standing monthly call	PAs	March 2, 2012
PAs present draft goals and incentive pools – use summary tables format – for consultant review; statewide and by PA (sector level)	PAs initial proposals to share with consultant team; March 8 date allows for some consideration of initial Synapse work	PAs	March 8, 2012
EEAC Meeting	TBD	TBD	March 13, 2012
Draft EM&V Plan for 2013-2015 completed	Utilize lessons learned to date	EM&V team, Ralph Prah	March 16, 2012
PI Structure finalized – PAs propose	Keep or remove metrics? Allocation; Model; will engage in ongoing discussions; applies incentive pools developed by March 8, 2012; incentive pool and structure will, however, be inter-related	Christine, Carol, Tilak, Emmett	March 16, 2012
First PA-specific draft of all budgets, savings, and benefits, by program	Summary tables only at this time; not full set of 08-50 tables	All PAs	March 16, 2012
Standing PA/consultant call	Standing monthly call	PAs	March 30, 2012
Goal for completion of new bill impact models	Propose as final revision date to Working Group	Bill Impact Working Group	April 1, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
Non-binding target goal of “handshake deal” on sector level (and by PA) savings goal/benefits and incentive pool	This is a target goal, but filings do not hinge on success of getting “handshake deal” by this date	PAs/Consultants	April 5, 2012
EEAC Meeting	TBD	TBD	April 10, 2012
Standing PA/consultant call	Standing monthly call	PAs	April 27, 2012
Finalization of initial draft of Three-Year Plan	Work on drafts	PAs	Ongoing April, 2012; “lock down” drafts by April 27, 2012 to allow for production and assembly
Initial draft of 2013-2015 Plan to EEAC; short form; use template developed earlier and presented in February meeting, as may be refined based upon suggestions	Summary tables, EM&V, PI description; goal is 20-30 pages, inclusive of summary tables	PAs	April 30, 2012
EEAC Meeting	Review of 2013-2015 plans	PAs and consultants present	May 8, 2012
First draft of 08-50 tables for 2013-2015	Confirm current versions of 08-50 tables remain appropriate in advance	All PAs	June 1, 2012
Cut-off date for evaluation studies to be included in 2011 Annual Reports	Evaluations must be complete to be utilized in 2011 Annual Reports	EM&V team	June 1, 2012
Standing PA/consultant call	Standing monthly call	PAs	June 1, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
EEAC Meeting	Initial Council action on plan – have sense of any concerns	Council	June 12, 2012
First full draft of 2013-2015 Plan to EEAC	Draft in full narrative form; all 08-50 tables not included	PAs/consultants	June 29, 2012
Standing PA/consultant call	Standing monthly call	PAs	June 29, 2012
Review of plans and PA interactions with Council and consultants	Continue interactions over the summer	PAs/Councillors/Consultants	Summer 2012; goal is to have consensus by close of September – earlier if possible
EEAC Meeting	Review full draft	Council	July 10, 2012
Cut-off for EM&V studies to be included in 2013-2015 Plan	No studies finalized after this date will be used in Plan values	EM&V Group	July 13, 2012
Council approval or comments to PAs regarding April 30 th Plan	GCA requires Council to submit its approval or comments to PAs not later than 3 months after submission of the plan		July 30, 2012
2011 Annual Reports	File with DPU	PAs and Counsel team	August 1, 2012
Draft of TRM- 2013 Plan Version to consultants	Utilize existing TRM framework	TRM team	August 1, 2012
Standing PA/consultant call	Standing monthly call	PAs	August 3, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
EEAC Meeting	TBD – possible that August meeting will not be held	DOER	August 14, 2012 – PAs suggest DOER strongly consider having no August meeting
Standing PA/consultant call	Standing monthly call	PAs	August 31, 2012
Proposed full draft of 2013-2015 Plan to EEAC	EEAC/consultants to provide final comments based on this draft; this draft includes tables. Note- if there is no August EEAC meeting (as PAs would recommend), this would give more time in August for Plan drafting, etc.	PAs and Counsel team	September 6, 2012
EEAC Meeting	Council feedback on full draft of 2013-2015 Plans	Consultants/Council	September 11, 2012
Standing PA/consultant call	Standing monthly call	PAs	September 28, 2012
PAs to provide final materials to EEAC for resolution	Full 2013-2015 Plan (with 08-50 tables, PI model, screening models, and bill impacts)	PAs and Council	October 2, 2012
EEAC Meeting	Resolution on Plans	EEAC/consultants	October 9, 2012
Each PA to file complete and final Three-Year Plan with DPU	Include petitions and short form testimony/affidavits; each PA ensures all DPU filing requirements are met	PAs and PA Counsel Group	October 31, 2012
RCS Filing	Statutory requirement	PAs	November 1, 2012

Task	Further Description/Notes	Responsible Parties	Date/Status
EEAC Meeting	TBD	TBD	November 13, 2012
File 2013-2015 Plan Settlement	Concurrent with Plans or after DPU Orders of Notice	PAs, intervenors	November 30, 2012
EEAC Meeting	TBD	TBD	December 11, 2012

General Notes

- PAs needed to continue focus at year-end on achieving savings; this was a key priority for all.
- Build on lessons learned.
- Utilize existing working groups wherever possible; seek to avoid creating new groups.
- Review 08-50 tables and confirm that they remain effective for 2013-2015 Plan.
- For detailed drafting of 2013-2015 Plan by PAs-- utilize subject leads as was done for first plan; subject leads are responsible for completing drafts on time, utilizing agreed-upon templates.
- PAs continue to utilize common assumptions.
- PAs, AG, DOER and consulting team to work on best methods on convening gatherings with other Council members that comply with Open Meetings Law.
- DOER plans to have direct meeting with PAs on 2013-2015 Plans, but dates are not established yet.