



# **Reporting Streamlining: Reports and Purposes for DPU 11-120-A Process**

EEAC Consultants

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# DPU 11-120-A

## Reporting Streamlining

- DPU working group process, continuing from the May 7 meeting, coming out of DPU 11-120-A order
- “Streamlining” of reporting should result in EE program reporting that is effective, efficient, timely, transparent, systematic, and automated
- Streamlining will be most beneficial and effective in:
  - DPU regulatory proceedings
  - Data management, processing, and systemization
  - Statewide reports vs. individual PA reports
- Next steps: clearly identify reports and purposes
- Council input and direction



# Plans, Reports, and Purposes

Document		Purpose
Plans	Original Plan	Sets out vision and plan for achieving all cost-effective energy efficiency for three-year term, including how and where customer funds are to be invested and the anticipated results.
	Mid-Term Modifications (MTMs)	Set out course corrections and adjustments based upon market conditions and evaluation results, if an MTM is triggered.
	Plan Updates or Implementation Updates	<i>See additional documents for information on proposed Plan Updates or Implementation Updates.</i>
Reports	Annual Reports	Document actual performance of energy efficiency efforts and programs, based on evaluation results and field performance, and measure success toward goals.
	DPU Three-Year Performance Report	Documents actual performance of energy efficiency efforts and programs, based on evaluation results and field performance, and measures success toward goals on a three-year basis.
	EEAC Quarterly Reports	Document performance of energy efficiency efforts and programs, and report progress toward goals monthly.
	DOER RCS Quarterly Reports	Provide specific RCS/HES data to DOER quarterly.
	EEAC Monthly Dashboards	Document performance of energy efficiency efforts and programs, and report progress toward goals monthly.



# Annual Reports & EEAC Review

- Reporting of actual results and performance
- Important to review actual results at least annually
- The Council has been reviewing actual annual performance at least twice during subsequent year:
  - *Preliminary* annual report, based on Q4 quarterly report, in March/April of the subsequent year
  - *Final* Annual Reports, including evaluation results and detailed data, usually in August/September
- *Recommendation:* Council should continue its important function of reviewing Annual Reports



# EEAC Review of Annual Reports per DPU 11-120-A

- “The Final Revised Guidelines require each Program Administrator to submit copies of its Plan-Year Report to the Council. Final Revised Guidelines §4.2. Although we do not have the authority to require the Council to review the Plan-Year Reports as requested by DOER, we would welcome the Council’s comments on these reports (see DOER Reply Comments at 3-4). In addition, as memorialized in the Final Revised Guidelines, the Department may investigate a Program Administrator’s performance any time during the three-year term on its own motion or if the Council requests that we do so.”  
D.P.U. 11-120-A Phase II, III.D
- *Recommendation:* The Council should continue its reviews of annual EE performance, and the Council should accept and welcome the opportunity to review the Annual Reports as part of its responsibilities.



# Plan Updates

- DOER proposal (at the DPU 11-120-A working group on May 7) and EEAC consultant recommendation
- It is crucial to track progress towards achievement of the Three-Year Plan goals, and then to use Plan Updates annually, based on the recent evaluation results and the best available information (the data used in the Annual Reports), to consider, review, and implement any strategic adjustments that are necessary along the way
- Plan Updates are needed annually, given the size of the annual EE program investment funded by ratepayers (\$700+M), regardless of whether an MTM is triggered or not
- PAs have a different proposal (“Implementation Updates”)

## **Plan Updates (continued)**

- Plan Updates should have three main components:
  - Data update (either in the statewide database or in improved 08-50 tables in the interim) based on recent evaluation results, field experience, and best available information, following on from the use of the same data and information in the Annual Reports
  - Narrative description of the key impacts and implications for the next year of the Three-Year Plan based on the most recent evaluation results, updated data, and best available information
  - Narrative description of strategic adjustments to the next year of the Plan in order to be on track to achieve or exceed the original goals
- Not proposing to modify the original goals – the focus would be on how to ensure the goals are achieved or exceeded
- No adjudicatory process before the DPU
- One set of books at any one time (store original Plan books)



# More Details – Plan Documents

Document		Component	Why/Basis	Purpose
Plans	Original Plan	Sets out vision and plan for achieving all cost-effective energy efficiency for three-year term, including how and where customer funds are to be invested and the anticipated results.		
		Written Sections	MGL c. 25, § 21	Explains detailed Plan components (Statewide) and goals
		08-50 Tables	DPU 08-50-B Order	Provides detailed numeric elements of the Plan (by PA for DPU as well as Statewide for EEAC)
		Technical Reference Manual (TRM)	DPU 08-50-B Order	Documents impact factors and input assumptions, with sources and references.
		PARIS**	DOER reporting	Updates DOER database
		Screening Model	MGL c. 25, § 21	Provides transparency and documentation of cost-benefit calculations
	Mid-Term Modifications (MTMs)	Set out course corrections and adjustments based upon market conditions and evaluation results, if an MTM is triggered.		
		Written Sections	Guidelines/11-120-A Order	Updates detailed Plan components as originally provided if MTM is triggered (e.g., changes to program budgets or decrease in program benefits must be greater than 20% over three years to trigger MTM)
		08-50 Tables	DPU 08-50-B Order	Updates detailed Plan numeric elements (by PA for DPU as well as Statewide for EEAC)
		Technical Reference Manual (TRM)	DPU 08-50-B Order	Documents impact factors and input assumptions, with sources and references.
		PARIS**	DOER reporting	Updates DOER database
		Screening Model	MGL c. 25, § 21	Provides transparency and documentation of cost-benefit calculations
Plan Updates or Implementation Updates		<i>See additional documents for information on proposed Plan Updates or Implementation Updates.</i>		



# More Details – Report Documents

Document		Component	Why/Basis	Purpose
Reports	<b>DPU Annual Reports (current state, through 2012)</b>	<b>Document actual performance of energy efficiency efforts and programs, based on evaluation results and field performance, and measure success toward goals.</b>		
		Written Sections	DPU 08-50-C Order	Explains PA-specific annual results
		Narrative/Support Tables	DPU 08-50-C Order	Provides underlying data for charts in written report, provides detailed numeric results of PA performance
		Technical Reference Manual (TRM)	DPU 08-50-B Order	Documents impact factors and input assumptions, with sources and references.
		PARIS**	DOER reporting	Updates DOER database
		Screening Model	MGL c. 25, § 21	Provides transparency and documentation of cost-benefit calculations
	<b>DPU Plan-Year Performance Reports (future state, 2013 - forward)</b>	<b>Document actual performance of energy efficiency efforts and programs, based on evaluation results and field performance, and measure success toward goals.</b>		
		TBD	Guidelines/11-120-A Order	Provide Statewide annual results
	<b>DPU Three Year Performance Report (future state)</b>	<b>Documents actual performance of energy efficiency efforts and programs, based on evaluation results and field performance, and measures success toward goals on a three-year basis.</b>		
		TBD	Guidelines/11-120-A Order	Provide PA-specific three-year results for DPU and Statewide for EEAC
	<b>EEAC Quarterly Reports</b>	<b>Document performance of energy efficiency efforts and programs, and report progress toward goals monthly.</b>		
		Qualitative	MGL c. 25, § 22	Provides interim written update on performance of programs and EEAC priorities
		Quantitative	MGL c. 25, § 22	Provides interim numeric data on savings, costs, participants and, twice per year, benefits, providing timely update of PA/Statewide performance.
	<b>DOER RCS Quarterly Reports</b>	<b>Provide specific RCS/HES data to DOER quarterly.</b>		
		Tier I/Tier II	RCS statute (Act 1980, c. 465)	In accordance with the memorandum entitled "2003 First Quarter RCS Reporting Changes" released by DOER on March 20, 2003, and incorporates subsequent reporting changes directed by the DOER.
<b>EEAC Monthly Dashboards</b>	<b>Document performance of energy efficiency efforts and programs, and report progress toward goals monthly.</b>			
	Excel Data	Report to EEAC	Provides interim numeric data on savings, costs and participants, providing timely update of Statewide performance.	
				**PARIS refers to "Program Administrator Reporting information System," developed by DOER